

Our Commitment to Your Privacy:

It is the policy of JHS Capital Advisors, Inc. to protect the confidentiality and security of information we collect about our customers. Non-public information (“Information”) about you will not be shared with third parties without your consent, except for the specified purposes described below. This notice describes the information we may gather from you and the circumstances under which we may share it. Our clearing firm RBC Correspondent Services (RBC CS), a division of RBC Capital Markets LLC has a separate privacy policy which will explain their privacy practice.

Why We Collect and How We Use Information:

JHS Capital Advisors, Inc. limits the collection and use of information to the minimum required to offer and deliver products and services to you. Such services include maintaining your account(s), processing transactions and administering our business.

How We Gather Information:

We get most information directly from you, when you apply for, access and use financial products and services offered by JHS Capital Advisors, Inc., whether in person, by telephone or electronically. We may verify this information or get additional information from consumer reporting agencies or public sources. This information may relate to your finances, employment, avocations or other personal characteristics, as well as transactions and interactions with our clearing firms, others or us.

How We Protect Information:

Our employees are required to protect the confidentiality of information and to comply with established policies. They may access information only when there is an appropriate reason to do so, such as to administer our products and services. We also maintain physical, electronic and procedural safeguards to protect information that comply with applicable laws. Employees who violate our Privacy Policy may be subject to disciplinary action.

Disclosure of Information:

We may disclose any information to, or as directed by our clearing firms, or when we believe it necessary for the conduct of our business, or where required by law. Information may be disclosed for audit and research purposes, to attorneys or other professionals, or to law enforcement and regulatory agencies, for example, to help us prevent fraud or money laundering. In addition, we may disclose information to third party service providers, (i) to enable them to provide business services for us and our clearing firm, such as performing computer related or data maintenance or processing of services, (ii) to facilitate the processing of transactions requested by you. We will not make any disclosures of information to other companies who may want to sell their products or services to you.

We do not sell customer lists, and we will not sell your name to another company for marketing purposes.

It is the policy of JHS Capital Advisors, Inc. to require all third parties, other than our clearing firms, that receive information to sign strict confidentiality agreements.

What are my rights to opt out of disclosure and how can I exercise them:

In certain circumstances, the law allows you to "opt out" of our sharing nonpublic personal information about you with affiliated and nonaffiliated companies; that is, you may direct us not to make such disclosures. We do not currently share information about you with any affiliate or third party that triggers this "opt out" right; therefore, there is no need for you to "opt out". If in the future we desire to disclose your information in a way that is inconsistent with this policy, we will notify you in advance and provide you with the opportunity to "opt out" of such disclosure.

Access To and Correction of Information:

Please contact us, if you desire to review any file we may maintain of your personal information. If you notify us that the information is incorrect, we will review it and amend our records if appropriate. If we do not agree that the information is incorrect, you may submit a short statement of dispute, which we will include in future disclosures of the disputed information. Information collected in connection with, or in anticipation of, any claim or legal proceeding will not be made available.

We reserve the right to change this Privacy Policy. The examples contained within this Privacy Policy are illustrations, and they are not intended to be exclusive. This notice complies with SEC regulations regarding consumer privacy. You may have additional rights under other foreign or domestic laws that may apply to you.